



04-4-01 H-A

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Docket No. 5403/CMP/RKK

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#4

Hon. Assistant Commissioner
for Patents
Washington, D.C. 20231

Re: Inventors: Alexander Lerner, John M. White, Shou-Sang Chang and Michael Sugarman
Title: LABYRINTH SEAL FOR BEARING IN BRUSH MOUNTING ASSEMBLY FOR SEMICONDUCTOR
WAFER SCRUBBER
Serial No.: 09/771,086
Filed: January 27, 2001
Examiner: unknown
Group Art Unit: unknown

Transmitted herewith is:

- ☒ Information Disclosure Statement
☒ PTO Form 1449 with ten cited references
☒ Return Postcards

| FEE CALCULATION | | | | | |
|--------------------|--------------|-------------------------|--------------|-----------|--------|
| Fee Items | Claims Filed | Included With Basic Fee | Extra Claims | Fee Rate | Total |
| Total Claims | N/A | - 20 = | -0- | X \$18.00 | \$0.00 |
| Independent Claims | N/A | - 3 = | -0- | X \$80.00 | \$0.00 |
| Basic Filing Fee | | | | \$710.00 | \$0.00 |
| TOTAL FEES | | | | | \$0.00 |

— The Commissioner is hereby authorized to charge \$0.00 to Deposit Account No. 04-1696.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-1696. A duplicate copy of this transmittal is enclosed.

☒ Please address all future correspondence to:

PATENT COUNSEL
APPLIED MATERIALS, INC.
Legal Affairs Department
P.O.BOX 450A
Santa Clara, CA. 95052

I hereby certify that this correspondence is being deposited with the United States Postal Service as express mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Express Mail Receipt No. EK956322275US

Date of Deposit: April 3, 2001

Signature: Valerie G. Dugan

Respectfully submitted,

Valerie G. Dugan

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PATENTS
5403/CMP/RKK

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Applicants : Alexander Lerner, John M. White, Shou-Sang Chang and Michael Sugarman

Serial No. : 09/771,086

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For : LABYRINTH SEAL FOR BEARING IN BRUSH MOUNTING ASSEMBLY FOR SEMICONDUCTOR WAFER SCRUBBER

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Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97,
applicants wish to call the attention of the Examiner to the
following references:

U.S. Patent No. 4,326,619, Garnett

U.S. Patent No. 4,557,506, Hanks et al.

U.S. Patent No. 5,036,625, Gosis

U.S. Patent No. 5,527,209, Volodarsky et al.

U.S. Patent No. 5,707,186, Gobell et al.

U.S. Patent No. 5,749,584, Skinner et al.



U.S. Patent No. 5,829,087, Nishimura et al.

U.S. Patent No. 5,901,643, Bornhorst

U.S. Patent No. 6,082,377, Frey

Patent Abstracts of Japan, Application No. 54063613,

Dated 04.12.80,

These references are also listed on the accompanying Information Disclosure Statement (Form PTO-1449) and copies are enclosed.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully Submitted,

A handwritten signature in cursive script that reads "Valerie G. Dugan".

Valerie G. Dugan, Esq.
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(914) 332-9081

Dated: April 3, 2001
Tarrytown, New York